6P2151

S/N 10/084214

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mdkean, et al.

Examiner:

Unknown

rial No.:

10/084214

**Group Art Unit:** 

2151

Filed:

2/25/02

Docket No.:

505.394US01

Title:

METHOD AND APPARATUS FOR COOPERATIVE DISTRIBUTED TASK

MANAGEMENT IN A STORAGE SUBSYSTEM WITH MULTIPLE

CONTROLLERS USING CACHE LOCKING

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Comprissioner for Patents, Washington, DC 20231

David W. Lynch

Name

Signature

Group Art Unit 2151 Assistant Commissioner for Patents Washington, D.C. 20231



☐ Information Disclosure Statement, Form 1449, copies of 22 reference(s)

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Transmittal Sheet

Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

ALTERA LAW GROUP, LLC 6500 City West Parkway, Suite 100

RECEIVED

Minneapolis, MN 55344-7701

JUL 0 2 2002

Technology Center 2100

Dated: 6/2

David W. Lynch /

Atty. Reg. Number 36,204

DWL/mka

S/N 10/084214

IN THE UNITED STATES PATENT AND TRADEMARK OFF

bplicant:

Mdkean, et al.

Examiner:

Serial No.:

10/084214

**Group Art Unit:** 

2151

Filed:

2/25/02

Docket No.:

505.3941

Title:

METHOD AND APPARATUS FOR COOPERATIVE DISTRIBUTED

MANAGEMENT IN A STORAGE SUBSYSTEM WITH MULTIPLE

CONTROLLERS USING CACHE LOCKING

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as/first class mail in an envelope addressed to: Assistant Commispioner for Patents, Washington, DC 20231

David W. Lynch

Name

Signature

## Information Disclosure Statement Under 37 C.F.R.§1.97(b)

**Assistant Commissioner for Patents** Washington, D.C. 20231

Dear Sir:



Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the

U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §.1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Serial No. Filing Date Attorney Docket Title

10/084404 2/25/02 SJO920010055US1 METHOD AND APPARATUS FOR USING CACHE COHERENCY LOCKING TO FACILITATE ON-LINE VOLUME EXPANSION IN A MULTI-CONTROLLER STORAGE SYSTEM

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

ALTERA LAW GROUP, LLC 6500 City West Parkway, Suite 100 Minneapolis, MN 55344-7701

Dated:

David W. Lynch

Atty. Reg. Number 36,204

DWL/mka

| ONF                  | A MAG  | TION DISCLOSURE S | STATEMEN     | Docket Number<br>SJ0920010056USI1<br>505.394US01<br>Applicant(s) | Serial N<br>10/0842        | Group Art Unit 10/05/Center 2151  CLASS SUB-CLASS FILING PATE (IF APPROPRIATE) |   |  |            |
|----------------------|--|-------------------|--------------|--|----------------------------|--|---|--|------------|
| DTO Form 1449        |  |                   |              |  | Mckean, et al. Filing Date | Group Art Unit   |   |  |            |
|                      |  |                   |              |  | 25 February 2002           |  |   |  |            |
| EVAMINED THE         |  | \$                | U.S.         | PATENT   | DOCUMENTS                  |  | \ | V CONS                                       | <i>V</i> 2 |
| EXAMINER<br>INITIALS | REF DOCUMENT NUMBER  |                   | DATE         | NAME   |                            | CLASS  | SUB-CLASS                               | FILING DATE<br>(IF APPROPRIATE)              |            |
|                      |  | 4,638,424         | 1/20/87      | Beglin,  | et al.                     |  | - · · - · - · ·                         |  |            |
|                      |  | 4,771,375         | 9/13/88      | Beglin, et al.<br>Baldwin, et al.                                |                            |  |   |  |            |
| -                    |  | 5,056,015         | 10/8/91      |  |                            |  |   |  |            |
| -                    |  | 5,247,660         | 9/21/93      | Ashcraf  | t, et al.                  |  |   |  |            |
|                      |  | 5,410,697         | 8/25/95      | Baird, e   | t al.                      |  |   |  |            |
|                      |  | 5,493,668         | 2/20/96      | Elko, et   | al.                        |  |   |  |            |
|                      |  | 5,537,574         | 7/16/96 Elko |  | al.                        |  |   |  |            |
|                      |  | 5,634,072         | 5/27/97      | Allen, e   |                            |  |   |  |            |
|                      | 5,742,792       4/21/98       Yanai,         5,809,224       9/15/98       Schult         5,860,159       1/12/99       Hagers |                   | Stiffler,    |  |                            |  |   |  |            |
|                      |  |                   | Yanai, e     | et al.   |                            |  |   |  |            |
|                      |  |                   | Schultz,     |  |                            |  |   |  |            |
|                      |  |                   | Hagerst      |  |                            |  |   |  |            |
|                      |  | 5,864,657         | 1/26/99      | Stiffler,  | et al.                     |  |   |  |            |
|                      |  | 5,875,457         | 2/23/99      | Shalit   | <u></u> .                  |  |   |  |            |
|                      |  | 5,909,540         | 6/1/99       | Carter,  |                            |  |   |  |            |
|                      |  | 5,983,326         | 11/9/99      |  | en, et al.                 |  |   |  |            |
|                      |  | 5,999,930         | 12/7/99      | Wolff  | · <u> </u>                 |  |   |  |            |
|                      |  | 6,041,400         | 3/21/00      | Ozcelik,   | et al.                     |  |   |  |            |
|                      | <del></del>  | 6,119,115         | 9/12/00      | Barr   | <del></del>                |  |   |  |            |
|                      |  | 6,058,489         | 5/2/00       | Schultz,   |                            |  |   | _  |            |
|                      |  | 6,073,218         | 6/6/00       |  | ng, et al.                 |  |   |  |            |
|                      |  | 6,078,990         | 6/20/00      | Frazier  |                            |  |   |  |            |
|                      |  |                   | FOREIG       | N PATE   | NT DOCUMENTS               | ···  |   |  |            |
| EXAMINER             | REF  | DOCUMENT NUMBER   | DATE         | COUNTRY  | CLASS                      | SUB-CLASS  | TRANSLATION                             |  |            |
| INITIALS             |  |                   |              |  |                            |  | YES                                     | NO   |            |
|                      |  |                   |              |  |                            |  |   |  |            |
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Date Considered:

Examiner: